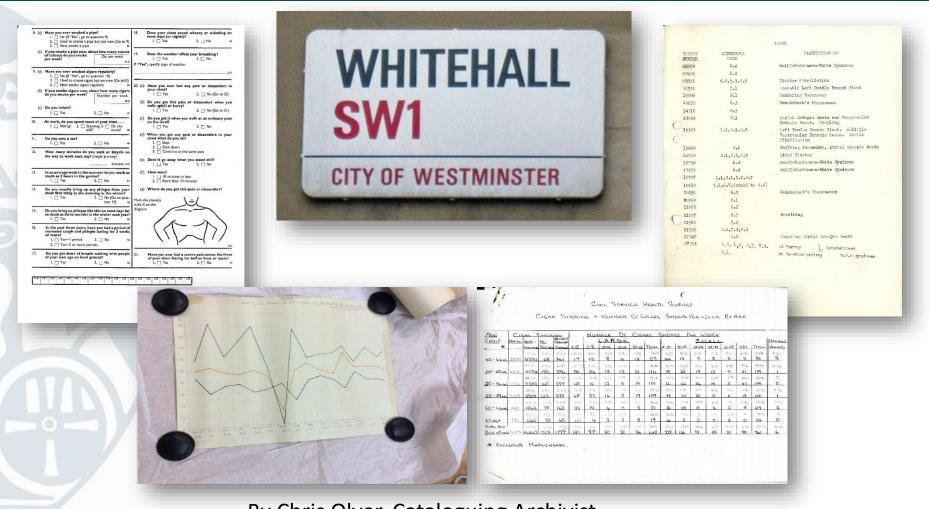
### The Whitehall Study:

Sensitive records within a longitudinal health survey





By Chris Olver, Cataloguing Archivist, London School of Hygiene & Tropical Medicine

### What was the Whitehall Study?



The Whitehall Study, a longitudinal health study of male middle aged civil servants. The study conducted by the School and Guy's Hospital surveyed over 18,000 civil servants, aged 45-60, based in London from 1967-1970.

Population: 18,403

middle-aged male Civil Servants in London volunteered for the study.



of the chosen sample agreed to participate.



The sample included civil servants from

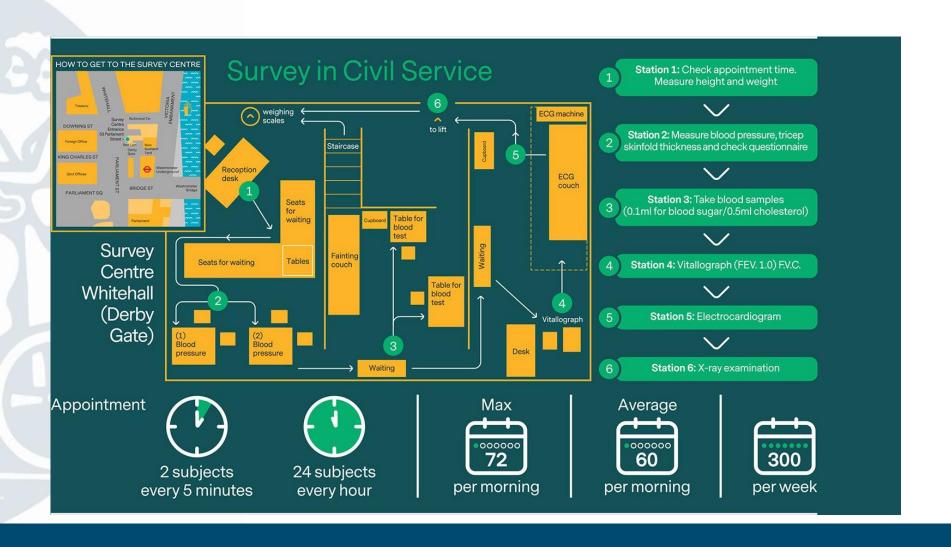
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departments including the British Museum, Diplomatic Service, Inland Revenue, Ministry of Defence, Ministry of Public Building and Works, Ministry of Power, and Scotland Yard.

#### Health screening, 1967-1970





#### Impact of the study



- Identifying risk factors in volunteers: The study identified a number of men who showed signs of cardiovascular and respiratory diseases, as well as diabetes.
- Positive intervention with at risk individuals: Randomised control trials of anti-smoking counselling and effect of diet on borderline diabetes showed positive long term health benefits.
- Social gradient and mortality: The main impact was demonstrated by Marmot et al (1982): the study demonstrated that the risk of mortality increased further down the social gradient, where lower grade employees were a third more likely to die from the disease than the highest grade employees.

### **Project methodology**



- 1) Survey
- 2) Isolate

3) Review

# What sensitive records are within the collection?





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- Questionnaires (contain personal details, medical information about physical and mental health).
- Clinical data (ECG strips, X-ray analysis, blood pressure readings etc.)
- Clinical observations from control studies [report cards on subjects attending smoking/dieting clinic]
- Correspondence with subjects regarding health matters
- Sickness absence records [some listing medical conditions]
- Death certificates

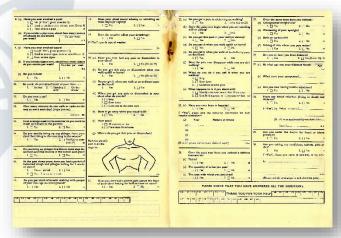


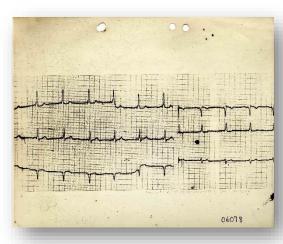


#### **Sensitivity Survey**



- Sensitivity survey conducted during cataloguing initially to identify sensitive and restricted record groups
- Majority of sensitive records, were covered by DPA legislation e.g. questionnaires without death certificates
- Some ambiguities, such as electrocardiograms and death certificates.





### **Questionnaires**



Contain various forms of personal data:

- Personal information (name, address, date of birth, marital status)
- Medical history (and family medical history)
- Questions relating to cardiovascular and respiratory conditions (e.g. winter phlegm, shortness of breath)
- Questions relating to diabetes
- Questions about prescribed medication
- Clinical data from medical tests, including weight, blood pressure, cholesterol

#### Death certificates



Photocopies of death certificates include information:

- Personal information (name, address, date of birth, NHS number)
- Causes of death
- Possible verdicts from coroner's inquest
- Personal data of informant (name, address)

### What guidelines exist for this type of record?



- Death certificates were the records that caused the most difficulty.
- Death certificates are public documents and can be ordered from General Registry Office
- Ambiguities emerge with recorded deaths. Suicides include method of death, as does accidence and/or murder.
- Causes of death are listed, sometimes relating to alcoholism, mental illness or from potential hereditary disease.
- Should these be open?

# Isolation and Review process



- 70 standard archive boxes to review. 33 boxes checked so far.
- 57 questionnaires have been isolated so far. Each record removed is retained within original box in separate file.
- Review will take place at end of project involving Archives team.
   Some items pulled are low risk e.g. cirrhosis cases, accidental deaths whilst others require further thought e.g. medical history of psychiatric disorders, open verdicts.

# Reflections regarding sensitivity guidance



- Some useful resources are available: Wellcome Library, NHS Code of Practice, National Archives and London Metropolitan Archives.
- Resources tend to focus on providing framework to looking into sensitivity, or dealing with particular record groups.
- Most guidance focuses on access restrictions as a whole e.g. concentrates on DPA Act, restrictions by donors, conservation and not sensitivity. Which is usually described as being undertaken on a 'case by case' basis.
- Sensitivity decisions are likely to always come down to judgement and acceptable risk, but more guidance and support would provide a greater consistency in decision-making.